



PTO/SB/17 (12-04)

Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act,
2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$150.00)

Attorney Docket No. ASA-1141

Complete if Known

Application Number 10/617,828
Filing Date July 14, 2003
First Named Inventor A. NAGASAKA

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit

Account

Number

Deposit

Account

Name

50-1417

MATTINGLY, STANGER & MALUR, P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1011 300	2011 150	Utility filing fee	
1012 200	2012 105	Design filing fee	
1013 200	2013 100	Plant filing fee	
1014 300	2014 150	Reissue filing fee	
1005 200	2005 100	Provisional filing fee	
1111 500	2111 250	Utility Search fee	
1112 100	2112 50	Design Search fee	
1113 300	2113 150	Plant Search fee	
1114 500	2114 250	Reissue Search fee	
1311 200	2311 100	Utility Ex. fee	
1312 130	2312 65	Design Ex. fee	
1313 160	2313 80	Plant Ex. fee	
1314 600	2314 300	Reissue Ex. fee	
SUBTOTAL (1)			0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims 27	-20 = 3	x 50	= 150.00
Indep. Claims 4	-3 = 0	x 200	= 0.00
Multiple Dependent		360	= 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple dependent claim, if not paid
1204 200	2204 100	** Reissue independent claims over original patent
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ 150.00		

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1051 130	2051 65	Surcharge - late filing fee or oath
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet
1053 130	1053 130	Non-English specification
1081 250	2081 125	Utility Application Size fee - for each 50 over 100
1082 250	2082 125	Design Application Size fee - for each 50 over 100
1812 2,520	1812 2,520	For filing a request for ex parte reexamination
1804 920*	1804 920*	Requesting publication of SIR prior to Examination action
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action
1251 120	2251 60	Extension for reply within first month
1252 450	2252 225	Extension for reply within second month
1253 1020	2253 510	Extension for reply within third month
1254 1,590	2254 795	Extension for reply within fourth month
1255 2,160	2255 1,080	Extension for reply within fifth month
1401 500	2401 250	Notice of Appeal
1402 500	2402 250	Filing a brief in support of an appeal
1403 1000	2403 500	Request for oral hearing
1451 1,510	1451 1,510	Petition to institute a public use proceeding
1452 500	2452 250	Petition to revive - unavoidable
1453 1,500	2453 750	Petition to revive - unintentional
1501 1,400	2501 700	Utility issue fee (or reissue)
1502 800	2502 400	Design issue fee
1503 1,100	2503 550	Plant issue fee
1460 130	1460 130	Petitions to the Commissioner
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)
1806 180	1806 180	Submission of Information Disclosure Stmt
8021 40	8021 40	Recording each patent assignment per property (times number of properties)
1809 790	2809 395	Filing a submission after final rejection (37 CFR § 1.129(a))
1810 790	2810 395	For each additional invention to be examined (37 CFR § 1.129(b))
1801 790	2801 395	Request for Continued Examination (RCE)
1802 900	1802 900	Request for expedited examination of a design application.

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John B. Mattingly	Registration No. (Attorney/Agent)	30,293	Telephone	(703) 684-1120
Signature				Date	February 14, 2006

This collection of information is required by 37 CFR 1.17 and 1.22. The information is required to obtain or retain a benefit by the public through the filing (and payment of fees) of an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including reviewing, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time and/or fees to complete this form, or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ASA-1141

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/617,828 Confirmation No. 5222
Applicant : A. NAGASAKA et al.
Filed : July 14, 2003
Titled : PERSONAL AUTHENTICATION DEVICE
TC/AU : 2627
Examiner : C.M. Larose
Customer No.: 24956

AMENDMENT

MAIL STOP: AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action of November 14, 2005, please amend the
above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of
this paper; and

Amendments to the Drawings begin on page 8 of this paper and include both an attached
replacement sheet and an annotated sheet showing changes.

Remarks begin on page 9 of this paper.

Appendix including (replacement drawing figures) is attached following page 11 of this paper.

02/15/2006 KAL111 00000071 10617828

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150.00 CP